

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pln. No:

09/965,966

Applicant: Filed:

Sawako Usuki, et al. September 28, 2001

Title:

ELECTROMAGNETIC TRANSDUCER AND PORTABLE COMMUNICATION

DEVICE

TC/A.U.:

2684

Examiner:

Nay Aung Maung

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference WO 99/39843 is not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

The enclosed references were cited the Chinese Office Action dated November 7, 2003. A copy of the Chinese Office Action is submitted herewith.

EP 0 999 722 A2 is provided as it corresponds to CN1253463 since we do not have an English language abstract of the reference.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424

Attorney for Applicants

DNC/vj

Enclosures: PTO Form 1449

(4) References Transmittal Form

Dated: February 3, 2004

✓ P.O. Box 980Valley Forge, PA 19482(610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

February 3, 2004

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SHEET 1 of 2

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/965,966			
Filing Date	September 28, 2001	·····		
First Named Inventor	Sawako Usuki, et al.			
Art Unit	2684	· · · · · ·		
Examiner Name	Nay Aung Maung			
Attorney Docket No.	YAO-4347US			

	,		U.S. PATENT DO	DCUMENTS	
Examiner	Cita	Document Number		<u> </u>	Pages, Columns, Lines, Where
Initials*	Cite No. ¹	Number - Kind Code ^{2 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			- State Appeal
		US-			<u> </u>
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Tricials IVO.	WO 99/39843 A1	(MM-DD-YYYY)	Document	Figures Appear	Τ°	
			08-12-1999	Namiki Seimitsu Houseki Kabushiki Kaisha		×
		EP 0 999 722 A2	05-10-2000	Matsushita Electric Industrial Co., Ltd.		Ø
		CN 1253463 A	05-17-2000			
					-	

Examiner			
Signature	Date		
Signature	Considered		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449B/PTO	Complete if Known		
•	Application Number	09/965,966	
INFORMATION DISCLOSURE	Filing Date	September 28, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Sawako Usuki, et al.	
(Use as many sheets as necessary)	Art Unit	2684	
•	Evaminer Name	Nay Augo Maugo	

Attorney Docket No.

YAO-4347US

SHEET 2 of 2

		NON-PATENT LITERATURE DOCUMENTS	-
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Chinese Office Action dated November 7, 2003 (5 pages).	
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Examiner			
Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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